Planning Worksheet

Client(s): Appointment Date:

Annual Income Update

		Total(s)
Client	$______________	
Spouse	$______________	
Other 1 (source)	$______________	
Other 2 (Source)	$______________	$_________________

Current Assets Update

Cash Reserves	$______________	
CD's, Money Markets, mutual Funds, etc	$______________	
IRA Tax-Deferred Account(s)	$______________	
Employer Retirement Plan(s)/401k, 403b	$______________	
Other Assets (home, property, etc)	$______________	$_________________

Current Liabilities Update

Primary Mortgage	$______________	
Home Equity Loan or Credit Line	$______________	
Vehicle Loan	$______________	
Other Debt (credit card, personal loan, etc)	$________________	$_________________

Net Worth Update (Total Assets – Total Liabilities) $_________________

Goals Update

1. Is there a specific area(s) of your personal financial planning that you would like to discuss during your review?

   - ◯ Financial Position (cash flow, cash reserves)
   - ◯ Portfolio Asset Allocation
   - ◯ Retirement Planning (progress update)
   - ◯ Income Tax Planning
   - ◯ Protection (health, life, disability, LTC)
   - ◯ Estate Planning

2. Have there been any changes in your personal financial planning situation?

   ◯ Yes ____________________________ ◯ No

3. Are there any major expenditures in the next 12 months which will affect your financial planning?

   ◯ Yes ____________________________ ◯ No

4. Other/Miscellaneous Issues of interest: